Ryan White Services Report (RSR) Web Application

Data Dictionary and XML Schema Implementation Guide

Version 3.10

October 2025



Health Resources and Services Administration
Office of Information Technology
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Rockville, MD 20857

Most Recent Document Version History

Version	Date	Author	Description
3.3	4/17/2017	Leidos	 The following updates were addressed in this version: Deleted information for data elements and allowed response categories that were removed for Version 3.0. Also removed other notes and document markups, such as the "New" and "Revised" labels, that were added to previous versions of this document to highlight content changes in the body of the document. Updated service category names for ClientReportServiceVisits to match HAB Policy Change Notice #16-02. For ClientReportServiceDelivered, Updated service category names to match HAB Policy Change Notice #16-02 Deleted ServiceID 22, Pediatric development assessment/early intervention services Deleted ServiceID 27, Legal Services Deleted ServiceID 31, Permanency Planning Deleted ServiceID 37, Treatment adherence counseling Added ServiceID 42, Other Professional Services
3.4	8/1/2017	Leidos/REI	The following updates were addressed in this version: Updated the SchemaVersion data element. Deprecated the TransgenderID data element. Revised GenderID data element: Deleted value 3, Transgender, for the GenderID data element. Added value 6, Transgender Male to Female Added value 7, Transgender Female to Male Added value 8, Transgender Other For ClientReportServiceDelivered, Updated service category name from "AIDS Pharmaceutical Assistance" to "AIDS Pharmaceutical Assistance (LPAP, CPAP)" Deleted ServiceID 22, Developmental assessment/early intervention services Deleted ServiceID 31, Permanency planning Deleted ServiceID 37, Treatment adherence counseling
3.5	8/18/2017	Leidos/REI	The following updates were addressed in this version: For ClientReportServiceDelivered, Updated the ReferenceID 75, Other Professional Services Updated the ServiceID 42, Other Professional Services
3.6	9/19/2017	HRSA	Updated all language in the introduction to include Recipient and sub-recipient and removed reference to "grantee" Fixed the allowed range of values in the RaceID field example: <clientreportrace> <raceid>1-5</raceid></clientreportrace>
3.7	1/9/2019	REI	Updated the SchemaVersion data element Revised EnrollmentStatusID data element Rename data element from EnrollmentStatusID to VitalStatusID Deleted values 1 – 5 Added values 7 and 8 Removed PovertyLevelID data element

Version	Date	Author	Description
Version	Date	Author	 Added PovertyLevelPercent data element Added HousingSatusCollectedDate data element Updated descriptions for value 1, 2, and 6 of ClientReportHivRiskFactor data element Updated element name from ClientReportMedicalInsurance to ClientReportHealthCoverage Updated ClientReportServiceVisits data element to include support services Updated ClientReportServiceVisits data element. All support service IDs are added to the allowable values. Updated ClientReportServiceDelivered data element. All support service IDs are removed from the allowable values. Removed RiskScreeningProvidedID data element Removed PrescribedPcpProphylaxisID data element Updated PrescribedArtID data element. Added value 8 Removed ScreenedTBSinceHivDiagnosisID data element Removed ScreenedHepatitisBSinceHivDiagnosisID data element Removed VaccinatedHepatitisBID data element Removed ScreenedHepatitisCSinceHivDiagnosisID data element Removed ScreenedSubstanceAbuseID data element Removed ScreenedSubstanceAbuseID data element Removed ScreenedMentalHealthID data element Removed ScreenedMentalHealthID data element
3.8	10/22/2019, 1/7/2020	REI	 Removed ReceivedCervicalPapSmearID data element Updated note for GenderID Updated note for ProviderID Updated note for RegistrationCode
3.9	8/27/2020	REI	 Added NewClient Added ReceivedServicePreviousYear Update ClientReportServiceVisits Added value 46
3.10	10/10/2025	REI	 Removed GenderID data element Updated Sex at Birth data element Added Unknown category

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1 Introduction

As a condition of their grant awards, Ryan White HIV/AIDS Program (RWHAP) recipients (grantees) and sub-recipients (providers) are required to submit the Ryan White HIV/AIDS Program Service Report (RSR). The RSR is a client-level data report that includes information on the characteristics of recipients, their providers, and the clients served.

Each funded service provider must complete the online section of the provider report and produce and upload their RSR in the proper file format (XML). The structure, sequence, values, and format of the data elements in the XML files must conform to the definitions specified in this document. Once the client-level data XML file is uploaded, it is validated for conformance to the data schema and business rules outlined in this document.

NOTE: Instructions on how to test if your XML schema meets the requirements provided here and how to upload the RSR client-level data XML files are located on the <u>HRSA</u> <u>Recipient Resources webpage</u>.

1.1 Purpose

The purpose of this document is to serve as the primary reference on the RSR for recipients, providers, and software vendors. This document provides

- Data definitions
- Required format of the XML file
- o Examples of XML files
- o References to the XML schema definitions that are used to validate the XML file.

Ultimately, the goal of this document is to help recipients reduce any errors that may result when they generate and submit client-level data XML files to the RSR web application.

1.2 Updates

This document will be revised as variables and value options are updated or when other global changes are made. The most up-to-date version of this document will be made available on the <u>HRSA Recipient Resources webpage</u>.

2 Main Components of the Client-Level XML File

The RSR client-level data XML file consists of three components: 1) the file header, 2) the root element, and 3) the body elements, which consist of complex and simple data elements.

2.1 File Header

The file header is the first line of text in the XML file. It is static text and does not change, and it contains the XML declaration—the version of XML—and encoding being used. A sample file header is shown below:

<?xml version="1.0" encoding="UTF-8"?>

2.2 Root Element

The root element consists of static text and does not change. A root element is required for every XML file, and it serves as "the parent" of all the other elements. In the case of the RSR client-level data XML file, the root element is <RSR:ROOT>, and it appears as follows:

<RSR:ROOT xsi:schemaLocation="urn:rsrNamespace RsrClientSchema.xsd" xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance" xmlns:RSR="urn:rsrNamespace">

The <RSR:ROOT> element contains extra information—called "attributes"—about the file. Each of the attributes has a name and value. The meanings of the attribute names are shown in Table 1.

Table 1: Root Element Attributes

Attribute Name	Definition
xsi:schemaLocation	The location of the XML schema definition file used to validate the client-level
	XML file.
xmlns:xsi	The location of the XML schema instance used to determine the base XML
	schema standards.
xmlns:RSR	The XML schema namespace used for custom definitions within the XML file.

2.3 Body: Simple and Complex Elements

The body of the RSR client-level data XML file contains all the elements under the root element. It contains complex and simple elements. Complex elements contain child elements. Simple elements do not contain any child elements. In the RSR client-level data XML file, the complex data elements must appear in a specific order and contain child data elements to pass the validation check.

Likewise, the simple data elements must appear in a specific order, and the data they contain must conform to the specific rules defined in this document to pass the validation check.

For more information about the other validation checks that the file must pass, please see Section 4: RSR Client-level Data XML File Format.

3 RSR Client-Level Data XML Data Elements

This section includes definitions for all the data elements (both complex and simple) in the body of the RSR client-level data XML file. The definitions are presented in tables, and each table includes one or more of the following metadata:

Reference ID: This field has been added for convenient referencing between this document and the RSR Instruction Manual. Each element described in this document and in the RSR Instruction Manual shows the unique item number that is assigned to the element in the RSR Instruction Manual.

Element Name: The descriptive name of the variable used to provide more information about what is being collected. This corresponds to the label for the variable in the RSR Client-Level Data XML Schema Definitions.

Definition: A brief description of the variable.

Required: Required data elements are determined based on the type of service the client received. The required values and their meanings are:

- All The element is required for all clients
- CM The element is required for clients receiving medical or non-medical case management services
- HI The element is required for clients receiving: oral health care; early intervention services (A and B); home health care; home and community-based health services; hospice services; mental health services; medical nutrition therapy; substance abuse services – outpatient; AIDS pharmaceutical assistance (local); or Health Insurance Program (HIP).
- Housing Services The element is required for clients receiving housing services.
- OA The element is required for clients receiving outpatient/ambulatory health care services.
- No The element is not required to be submitted in the client-level data XML file.
- Yes The element is required and must be included in the client-level data XML file

Occurrence: The minimum and maximum number of times the element may appear in a single record within the client-level data XML file.

Allowed Values: The type or list of values allowed for the data element.

Schema: Sample XML code that indicates the use of the element within the context of the client-level data XML file.

Comments: Additional information about the data element.

3.1 XML Schema Version Elements

The XML Schema Version elements are designed to capture data about which version of the RSR XML schema is being used.

3.1.1 SchemaVersion (Revised)

Field	Description
ID	XV1
Element Name	SchemaVersion
Parent Element	XmlVersion
Definition	The RSR XML schema version currently supported.
Required	Yes
Occurrence	1 per file
Allowed Values	Must be set to 5.2.0
Schema	<schemaversion>5.2.0</schemaversion>

3.1.2 Originator

Field	Description
ID	XV2
Element Name	Originator
Parent Element	XmlVersion
Definition	The name of the application that generates the client-level data XML file.
Required	Yes
Occurrence	1 per file
Allowed Values	Text from 1 to 150 characters excluding special characters.
Schema	<originator>Application name</originator>

3.1.3 VersionNumber

Field	Description
ID	XV3
Element Name	VersionNumber
Parent Element	XmlVersion
Definition	The version number of the application that generates the client-level data XML
	file.
Required	Yes
Occurrence	1 per file
Allowed Values	Text from 1 to 150 characters excluding special characters.
Schema	<versionnumber>Application version</versionnumber>

3.1.4 TechnicalContactName

Field	Description
ID	XV4
Element Name	TechnicalContactName
Parent Element	XmlVersion
Definition	The technical contact name for the application that generates the client-level data
	XML file.
Required	Yes
Occurrence	1 per file
Allowed Values	Text from 1 to 150 characters excluding special characters.
Schema	<technicalcontactname>Contact name</technicalcontactname>

3.1.5 TechnicalContactEmail

Field	Description
ID	XV5
Element Name	TechnicalContactEmail
Parent Element	XmlVersion
Definition	The technical contact's email address for the application that generates the client-
	level data XML file.
Required	Yes
Occurrence	1 per file
Allowed Values	The value must be a valid email address.
Schema	<technicalcontactemail>Contact email</technicalcontactemail>

3.1.6 TechnicalContactPhone

Field	Description
ID	XV6
Element Name	TechnicalContactPhone
Parent Element	XmlVersion
Definition	The technical contact's phone number for the application that generates the client-
	level data XML file.
Required	Yes
Occurrence	1 per file
Allowed Values	The format is 999,999,9999 x99999, where the extension (x99999) is optional,
	but there must be a space before the "x".
Schema	<technicalcontactphone>Contact phone number</technicalcontactphone>

3.1.7 ReportYear

Field	Description
ID	SV5
Element Name	ReportYear
Parent Element	XmlVersion
Definition	The reporting period identifier.
Required	Yes
Occurrence	1 per file
Allowed Values	уууу
	Must be equal to the reporting period for the submission.
Schema	<reportyear>yyyy</reportyear>

3.2 Provider Information Elements

3.2.1 ProviderID

Field	Description
ID	SV2
Element Name	ProviderID
Parent Element	ClientReport
Definition	The unique provider organization identifier assigned through the Ryan White HIV/AIDS Program Data Report (RDR) or RSR web application.
Required	No, unless it is a batch submittal
Occurrence	0-1 per client
Allowed Values	A system-assigned numeric value. This variable is not required when uploading the client-level data XML file through the RSR web application since the file is uploaded into the Provider Report and the provider organization identifier is already known.
	However, this value can be provided in the client-level data XML file and will be cross-referenced with the provider organization identifier associated with the Provider Report. If the values do not match, then the client-level data XML file upload will be rejected.
Schema	<providerid>Integer</providerid>

3.2.2 RegistrationCode

Field	Description
ID	SV3
Element Name	RegistrationCode
Parent Element	ClientReport
Definition	The unique provider registration code.
Required	No
Occurrence	0-1 per client
Allowed Values	A system-assigned numeric value.
	This variable is not required when uploading the client-level data XML file through the RSR web application since the file is uploaded into the Provider Report and the registration code is already known. However, this value can be provided in the XML file and will be cross-referenced with registration code associated with the Provider Report. If the values do not
	match, then the XML file upload will be rejected.
Schema	<registrationcode>Numeric string<!-- RegistrationCode--></registrationcode>

Encrypted Unique Client Identifier

3.2.3 ClientUci

Field	Description
ID	SV4
Element Name	ClientUci
Parent Element	ClientReport
Definition	The encrypted, unique client identifier generated by the HAB Unique Client Identifier (UCI) generation utilities.
Required	All
Occurrence	1 per client
Allowed Values	40-character upper-case, hexadecimal string plus a single character in the range A-Z.
Schema	<clientuci>(0-9 A-F)*40 + (A-Z)*1, length 41</clientuci>

3.3 Client Demographics

3.3.1 VitalStatusID

Field	Description
Reference ID	2
Element Name	VitalStatusID
Parent Element	ClientReport
Definition	The client's vital enrollment status at the end of the reporting period.
Required	CM, OA
Occurrence	0-1 per required client
Allowed Values	VitalStatusID: 12 = Alive 6 = Deceased 7 = Unknown The allowed value below has been removed: 1 = Active, continuing in program (Removed) 2 = Referred to another program or services, or self-sufficient (Removed) 3 = Removed from treatment due to violation of rules (Removed) 4 = Incarcerated (Removed) 5 = Relocated (Removed)
Schema	<vitalstatusid>12</vitalstatusid>

3.3.2 BirthYear

Field	Description
Reference ID	4
Element Name	BirthYear
Parent Element	ClientReport
Definition	Client's year of birth.
	This value should be on or before all service date years for the client.
Required	All
Occurrence	0-1 per client

Field	Description
Allowed Values	уууу
	Must be less than the end of the reporting period.
Schema	<birthyear>yyyy</birthyear>

3.3.3 EthnicityID

Field	Description
Reference ID	5
Element Name	EthnicityID
Parent Element	ClientReport
Definition	Client's ethnicity.
Required	All
Occurrence	0-1 per client
Allowed Values	1 = Hispanic/Latino
	2 = Non-Hispanic/Latino
Schema	<ethnicityid>1-2</ethnicityid>

3.3.4 ClientReportHispanicSubgroup

Description
68
ClientReportHispanicSubgroup
SubgroupID
ClientReport
Client's Hispanic Subgroup. Report all that apply.
All clients whose ethnicity is "Hispanic" (EthnicityID = 1)
0-4 per required client
SubgroupID:
1= Mexican, Mexican American, Chicano/a
2= Puerto Rican
3= Cuban
4= Another Hispanic, Latino/a or Spanish origin
Within the following schema section, multiple SubgroupIDs may be reported.
Where multiple SubgroupIDs are reported, multiple sets of corresponding tags
should appear (one for each ID)
<clientdenertlienenia cubaraun=""></clientdenertlienenia>
<clientreporthispanicsubgroup></clientreporthispanicsubgroup>
<subgroupid>1-4</subgroupid>
 <subgroupid>1-4</subgroupid>

3.3.5 ClientReportRace

Field	Description
Reference ID	6
Element Name	ClientReportRace RaceID
Parent Element	ClientReport
Definition	Client's race.
Required	All

Field	Description
Occurrence	0-5 per client
Allowed Values	RaceID:
	1 = White
	2 = Black or African American
	3 = Asian
	4 = Native Hawaiian/Pacific Islander
	5 = American Indian or Alaska Native
Schema	Within the following schema section, multiple RaceIDs may be reported.
	<clientreportrace></clientreportrace>
	<raceid>1-5</raceid>
	<raceid>1-5</raceid>

3.3.6 ClientReportAsianSubgroup

Field	Description
Reference ID	69
Element Name	ClientReportAsianSubgroup
	SubgroupID
Parent Element	ClientReport
Definition	Client's Asian subgroup. Report all that apply.
Required	All clients whose race is "Asian" (RaceID = 3)
Occurrence	0-7 per required client
Allowed Values	SubgroupID:
	1 = Asian Indian
	2 = Chinese
	3 = Filipino
	4 = Japanese
	5 = Korean
	6 = Vietnamese
	7 = Other Asian
Schema	Within the following schema section, multiple SubgroupIDs may be reported. Where multiple SubgroupIDs are reported, multiple sets of corresponding tags should appear (one for each ID)
	<clientreportasiansubgroup></clientreportasiansubgroup>

3.3.7 ClientReportNhpiSubgroup

Field	Description
Reference ID	70
Element Name	ClientReportNhpiSubgroup
	SubgroupID
Parent Element	ClientReport
Definition	Client's Native Hawaiian/Pacific Islander subgroup. Report all that apply.
Required	All clients whose race is "Native Hawaiian/Pacific Islander" (RaceID = 4)
Occurrence	0-4 per required client

Field	Description
Allowed Values	SubgroupID:
	1 = Native Hawaiian
	2 = Guamanian or Chamorro
	3 = Samoan
	4 = Other Pacific Islander
Schema	Within the following schema section, multiple SubgroupIDs may be reported. Where multiple SubgroupIDs are reported, multiple sets of corresponding tags should appear (one for each ID)
	<clientreportnhpisubgroup> <subgroupid>1-4</subgroupid></clientreportnhpisubgroup>
	 <subgroupid>1-4</subgroupid>

3.3.8 SexAtBirthID (Revised)

Field	Description
Reference ID	71
Element Name	SexAtBirthID
Parent Element	ClientReport
Definition	The biological sex assigned to the client at birth
Required	All
Occurrence	0-1 per client
Allowed Values	1 = Male
	2 = Female
	4 =Unknown
Schema	<sexatbirthid>1,2,4</sexatbirthid>

3.3.9 PovertyLevelPercent

Field	Description
Reference ID	9
Element Name	PovertyLevelPercent
Parent Element	ClientReport
Definition	Client's percent of the Federal poverty level at the end of the reporting period.
Required	CM, OA
Occurrence	0-1 per required client
Allowed Values	Integer up to 4 digits
Schema	<povertylevelpercent>231</povertylevelpercent>
	When there is no data to report:
	<povertylevelpercent xsi:nil="true"></povertylevelpercent>

3.3.10 HousingStatusID

Field	Description
Reference ID	10
Element Name	HousingStatusID
Parent Element	ClientReport
Definition	Client's housing status at the end of the reporting period.
Required	CM, OA, or Housing services

Field	Description
Occurrence	0-1 per required client
Allowed Values	1 = Stable/permanent
	2 = Temporary
	3 = Unstable
Schema	<housingstatusid>1-3</housingstatusid>

3.3.11 HousingStatusCollectedDate

Field	Description
Reference ID	11
Element Name	HousingStatusCollectedDate
Parent Element	ClientReport
Definition	The collection date of the client's housing status at the end of the reporting period.
Required	CM, OA, or Housing services
Occurrence	0-1 per required client
Allowed Values	HousingStatusCollectedDate: mm,dd,yyyy
Schema	<housingstatuscollecteddate>mm,dd,yyyy</housingstatuscollecteddate>

3.3.12 HivAidsStatusID

Field	Description
Reference ID	12
Element Name	HivAidsStatusID
Parent Element	ClientReport
Definition	Client's HIV/AIDS status at the end of the reporting period. Leave this data element blank for HIV affected clients if the client's HIV/AIDS status is not known.
Required	CM, OA
Occurrence	0-1 per required client
Allowed Values	HivAidsStatusID: 1 = HIV negative 2 = HIV-positive, not AIDS 3 = HIV-positive, AIDS status unknown 4 = CDC-defined AIDS
0.1	7 = HIV indeterminate (infants less than 2 years only)
Schema	<pre><hivaidsstatusid>1-4, 7</hivaidsstatusid></pre>

3.3.13 ClientReportHivRiskFactor

Field	Description
Reference ID	14
Element Name	ClientReportHivRiskFactor HivRiskFactorID
Parent Element	ClientReport
Definition	Client's HIV/AIDS risk factor. Report all that apply.
	For HIV affected clients for whom HIV/AIDS status is not known, leave this value blank.
Required	CM, OA
Occurrence	0-7 per client

Field	Description
Allowed Values	HivRiskFactorID:
	1 = Male to Male sexual contact (MSM)
	2 = Injection drug use (IDU)
	3 = Hemophilia/coagulation disorder
	4 = Heterosexual contact
	5 = Receipt of blood transfusion, blood components, or tissue
	6 = Perinatal transmission
	9 = Risk factor not reported or not identified
Schema	Within the following schema section, multiple HivRiskFactorIDs may be reported.
	<clientreporthivriskfactor> <hivriskfactorid>1-6, 9</hivriskfactorid></clientreporthivriskfactor>
	<hivriskfactorid>1-6, 9</hivriskfactorid>

3.3.14 ClientReportHealthCoverage

Field	Description
Reference ID	15
Element Name	ClientReportHealthCoverage
	MedicalInsuranceID
Parent Element	ClientReport
Definition	Client's health coverage. Report all that apply.
Required	OA, CM, HI
Occurrence	0-8 per required client
Allowed Values	10 = Private – Employer
	11 = Private – Individual
	2 = Medicare
	12 = Medicaid, CHIP or other public plan
	13 = VA, Tricare and other military health care
	14 = IHS
	15 = Other plan
	16 = No insurance/uninsured
	The allowed values below are only used for ADR (these are not available values in RSR):
	8 = Medicare Part A/B (<i>Value not used for RSR</i>)
	9 = Medicare Part D (Value not used for RSR)
Schema	Within the following schema section, multiple MedicalInsuranceIDs may be
	reported. Where multiple MedicalInsuranceIDs are reported, multiple sets of
	corresponding tags should appear (one for each ID)
	<clientbenertheelthcoverage></clientbenertheelthcoverage>
	<pre><clientreporthealthcoverage> <medicalinsuranceid>2, 10-16</medicalinsuranceid></clientreporthealthcoverage></pre>
	··· <medicalinsuranceid>2, 10-16</medicalinsuranceid>

3.3.15 HIVDiagnosis Year

Field	Description
Reference ID	72
Element Name	HIVDiagnosisYear

Field	Description
Parent Element	ClientReport
Definition	Year of client's HIV diagnosis, if known. To be completed for a new client when the response is not "HIV-negative" or "HIV indeterminate" for HivAidsStatusID. This value must be on or before the last date of the reporting period.
Required	CM, OA For a new client, if the response for HivAidsStatusID is not "HIV-negative" or "HIV indeterminate" (i.e., HivAidsStatusID ≠ 1 or HivAidsStatusID ≠ 7).
Occurrence	1 per required client
Allowed Values	уууу Must be less than or equal to the reporting period year.
Schema	<hivdiagnosisyear>yyyy</hivdiagnosisyear>

3.3.16 NewClient

Field	Description
Reference ID	76
Element Name	NewClient
Parent Element	ClientReport
Definition	Value indicating whether the client is new to the service provider
Required	All
Occurrence	1 per required client
Allowed Values	1 = No
	2 = Yes
Schema	<newclient>1-2</newclient>

3.3.17 ReceivedServicePreviousYear

Field	Description
Reference ID	77
Element Name	ReceivedServicePreviousYear
Parent Element	ClientReport
Definition	Value indicating whether the client received at least one service in the previous
	year.
Required	OA CM
	This should be completed if client is not new to the service provider.
Occurrence	0-1 per required client
Allowed Values	1 = No
	2 = Yes
Schema	<pre><receivedservicepreviousyear>1-2</receivedservicepreviousyear></pre>

3.4 Service Visits Delivered

Field	Description
Reference IDs	16, 18–19, 21–27, 28–44, 75, 78
Element Name	ClientReportServiceVisits
	ServiceVisit
	ServiceID
	Visits
Parent Element	ClientReport
Definition	The number of visits received for each core medical or support service during the reporting period.
Required	All
Occurrence	0-1 for each core medical service delivered
Allowed Values	Core Medical Services: ServiceIDs: 8 = Outpatient/Ambulatory Health Services 10 = Oral Health Care 11 = Early Intervention Services (EIS) 13 = Home Health Care 14 = Home and Community-Based Health Services 15 = Hospice 16 = Mental Health Services 17 = Medical Nutrition Therapy 18 = Medical Case Management, including Treatment Adherence Services 19 = Substance Abuse Outpatient Care Support Services: ServiceIDs: 20 = Non-Medical Case Management Services 21 = Child Care Services 23 = Emergency Financial Assistance 24 = Food Bank/Home Delivered Meals 25 = Health Education/Risk Reduction 26 = Housing 28 = Linguistic Services 29 = Medical Transportation 30 = Outreach Services 31 = Referral for Health Care and Support Services 32 = Psychosocial Support Services 33 = Referral for Health Care and Support Services 34 = Rehabilitation Services 35 = Respite Care 36 = Substance Abuse Services (residential) 42 = Other Professional Services EHE Initiative Services: Service ID: 46 = Ending the HIV Epidemic Initiative Services
	Visits: 1–365 (must be an integer)

Field	Description
Schema	Only one ClientReportServiceVisits element may be reported per client record. Multiple ServiceVisit elements may be reported in one ClientReportServiceVisits element. When reporting multiple services, repeat the entire ServiceVisit element. Only one ServiceID and Visits element may appear within a single occurrence of the ServiceVisit element.
	<clientreportservicevisits></clientreportservicevisits>
	<pre></pre>
	Only report services with actual visits. Do not report services without visits.

3.5 Core Medical Services Delivered

Field	Description
Reference IDs	17, 20
Element Name	ClientReportServiceDelivered
	ServiceDelivered
	ServiceID
	DeliveredID
Parent Element	ClientReport
Definition	The service and service delivered indicator for each core medical service received
	by the client during the reporting period.
Required	All
Occurrence	0-1 for each service delivered

Field	Description
Allowed Values	Description Core Medical Services: ServiceID:
Allowed values	9 = AIDS Pharmaceutical Assistance (LPAP, CPAP)
	12 = Health Insurance Premium and Cost Sharing Assistance for Low-Income
	Individuals
	Individuals
	DeliveredID:
	2 = Yes
	2 - 165
	The allowed values below for ServiceID have been removed:
	20 = Non-Medical Case Management Services
	21 = Child Care Services
	22 = Developmental assessment/early intervention services
	23 = Emergency Financial Assistance
	24 = Food Bank/Home Delivered Meals
	25 = Health Education/Risk Reduction
	26 = Housing
	27 = Legal services
	28 = Linguistic Services
	29 = Medical Transportation
	30 = Outreach Services
	31 = Permanency planning
	32 = Psychosocial Support Services
	33 = Referral for Health Care and Support Services
	34 = Rehabilitation Services
	35 = Respite Care
	36 = Substance Abuse Services (residential)
	37 = Treatment adherence counseling
	42 = Other Professional Services
Schema	Only one ClientReportServiceDelivered element may be reported per client
	record. Multiple ServiceDelivered elements may be reported in one
	ClientReportServiceDelivered element. When reporting multiple services, repeat
	the entire ServiceDelivered element. Only one ServiceID and DeliveredID
	element may appear within a single occurrence of the ServiceDelivered element.
	<clientreportservicedelivered></clientreportservicedelivered>
	<servicedelivered></servicedelivered>
	<serviceid>9</serviceid>
	<pre><deliveredid>2</deliveredid> </pre>
	 <servicedelivered></servicedelivered>
	<servicedelivered> <serviceid>12</serviceid></servicedelivered>
	<pre><delviceid>12</delviceid></pre>
	Only report services that were actually delivered. Do not report services that were
	not delivered.
	not donvoiced.

3.6 Clinical Information

3.6.1 FirstAmbulatoryCareDate

Field	Description
Reference ID	47

Field	Description
Element Name	FirstAmbulatoryCareDate
Parent Element	ClientReport
Definition	Date of client's first ambulatory care at this provider agency.
	This value must be on or before the last date of the reporting period.
Required	OA
Occurrence	0-1 per required client
Allowed Values	FirstAmbulatoryCareDate: mm,dd,yyyy
Schema	<pre><firstambulatorycaredate>mm,dd,yyyy</firstambulatorycaredate></pre>

3.6.2 ClientReportAmbulatoryService

Field	Description
Reference ID	48
Element Name	ClientReportAmbulatoryService
	ServiceDate
Parent Element	ClientReport
Definition	All the dates of the client's outpatient ambulatory care visits in this provider's HIV
	care setting with a clinical care provider during this reporting period.
	The service dates must be within the reporting period.
Required	OA
Occurrence	0-number of days in reporting period per required client
Allowed Values	ServiceDate: mm,dd,yyyy
	Must be within the reporting period start and end dates.
Schema	Multiple ServiceDate elements may appear [one for each date] in the
	ClientReportAmbulatoryService element.
	< ClientReportAmbulatoryService>
	<servicedate>mm,dd,yyyy</servicedate>
	<servicedate>mm,dd,yyyy</servicedate>

3.6.3 ClientReportCd4Test

Field	Description
Reference ID	49
Element Name	ClientReportCd4Test
	Cd4Test
	Count
	ServiceDate
Parent Element	ClientReport
Definition	Values indicating all CD4 counts and their dates for this client during this report
	period.
	The service dates must be within the reporting period.
Required	OA
Occurrence	0-number of days in reporting period per required client

Field	Description
Allowed Values	Count: Integer
	ServiceDate: mm,dd,yyyy
	Must be within the reporting period start and end dates.
Schema	When reporting multiple CD4 tests, repeat the entire Cd4Test element. Only one Count and ServiceDate element may appear within a single occurrence of the ClientReportCd4Test element.
	<clientreportcd4test></clientreportcd4test>
	<cd4test> <count>Integer</count> <servicedate>mm,dd,yyyy</servicedate> </cd4test>

3.6.4 ClientReportViralLoadTest

Field	Description
Reference ID	50
Element Name	ClientReportViralLoadTest
	ViralLoadTest
	Count
	ServiceDate
Parent Element	ClientReport
Definition	All Viral Load counts and their dates for this client during this report period
Required	OA
Occurrence	0-number of days in reporting period
Allowed Values	Count: Integer
	Report undetectable values as the lower bound of the test limit. If the lower bound is not available, report 0.
	Convert logarithmic values to integers (whole numbers). For example, a log Viral load value of 3.15 should be reported as 1,412.0 ($10^{3.15}$); a value of 0.1234 x 10^4 should be reported as 1234.0
	ServiceDate: mm,dd,yyyy
	Must be within the reporting period start and end dates.

Field	Description
Schema	When reporting multiple viral load tests, repeat the entire ViralLoadTest element. Only one Count and ServiceDate element may appear within a single occurrence of the ClientReportViralLoadTest element.
	<clientreportviralloadtest></clientreportviralloadtest>

3.6.5 PrescribedArtID

Field	Description
Reference ID	52
Element Name	PrescribedArtID
Parent Element	ClientReport
Definition	Value indicating whether the client was prescribed ART at any time during this reporting period.
Required	OA
Occurrence	0-1 per required client
Allowed Values	1 = Yes 8 = No The allowed values below for PrescribedArtID have been removed: 3 = No, not ready (as determined by clinician) 4 = No, client refused 5 = No, intolerance, side-effect, toxicity 6 = No, ART payment assistance unavailable 7 = No, other reason
Schema	<prescribedartid>1<!-- PrescribedArtID--></prescribedartid>

3.6.6 ScreenedSyphilisID

Field	Description
Reference ID	55
Element Name	ScreenedSyphilisID
Parent Element	ClientReport
Definition	Value indicating whether the client was screened for syphilis during this reporting period (exclude all clients under the age of 18 who are not sexually active)
Required	OA
-	if client is 18 years of age, or older
Occurrence	0-1 per required client
Allowed Values	1 = No
	2 = Yes
	3 = Not medically indicated
Schema	<screenedsyphilisid>1-3</screenedsyphilisid>

3.6.7 PregnantID

Field	Description
Reference ID	64
Element Name	PregnantID
Parent Element	ClientReport
Definition	Value indicating whether the client was pregnant during this reporting period.
Required	OA
	This should be completed for HIV-positive women only.
Occurrence	0-1 per required client
Allowed Values	1 = No
	2 = Yes
	3 = Not applicable
Schema	<pregnantid>1-3</pregnantid>

3.7 HIV Counseling and Testing Elements

3.7.1 HivPosTestDate

Field	Description
Reference ID	73
Element Name	HivPosTestDate
Parent Element	ClientReport
Definition	Date of client's confidential confirmatory HIV test with a positive result within the reporting period.
Required	All newly diagnosed OAHS clients with a confidential positive HIV confirmatory test during the reporting period.
Occurrence	0-1 per required client
Allowed Values	mm,dd,yyyy
	Must be within the reporting period.
Schema	<hivpostestdate>mm,dd,yyyy</hivpostestdate>

3.7.2 OamcLinkDate

Field	Description
Reference ID	74
Element Name	OamcLinkDate
Parent Element	ClientReport
Definition	Date of client's first OAHS visit after positive HIV test.
	Date must be the same day or after the date of client's confidential confirmatory HIV test with a positive result.
Required	All newly diagnosed OAHS clients with a confidential positive HIV confirmatory test during the reporting period.
Occurrence	0-1 per required client
Allowed Values	mm,dd,yyyy
	Must be within the reporting period.
Schema	< OamcLinkDate >mm,dd,yyyy

4 RSR Client-level Data XML File Format

The RSR client-level data XML file structure and content is defined through a set of XML Schema Definition (XSD) files. The XSD files are used to validate the RSR client-level data XML files before they can be loaded into the RSR web application. Once loaded, further checks are performed by the RSR web application.

4.1 RSR Web Application Validation Checks

The following validation checks must be satisfied before an RSR client-level data XML file will be accepted by the RSR web application:

- The XML file must have the .xml extension.
- The XML file must conform to the XML Schema Definition files.
- One and only one set of records per client is allowed in a single client-level data XML file.
- An encrypted unique client identifier (i.e., ClientUci) may not be repeated within the same XML file. A client is uniquely identified by their encrypted unique client Identifier (eUCI). This value is represented in the RSR client-level data XML file by the ClientUci data element within the RsrClientReport complex element. The ClientUci value is an upper-cased, 40 character, hexadecimal value (0-9, A-F) followed by a single suffix from A through Z used to further identify clients that may share the same base, 40 character encrypted UCI within the same Provider.
- The XML data elements must appear in the specified order. See <u>Section 4.2:</u> <u>Sample Client-Level Data XML Format</u> for an example of the sequencing required.
- The XML simple data elements must conform to the definitions appearing in this
 document. Required fields must be reported and values must be valid and match
 the documented format, if defined.

Empty or "NULL" data element tags are not permitted in the XML file. For example, data elements of the form <tag></tag> or <tag /> are not allowed. **NOTE**: If data are not be provided for an element for a particular client, *then remove that element entirely from the client's record* (i.e., remove the data element's start tag, value, and end tag).

4.2 Sample Client-Level Data XML Format

This example shows a sample client-level data XML file with the required sequence of data elements that are included in the file. Please note that this data are solely used as an example and represent the structure, sequence, values, and format of the data elements.

<?xml version="1.0" encoding="UTF-8"?>

```
<RSR:ROOT xsi:schemaLocation="urn:rsrNamespace RsrClientSchema.xsd"</p>
   xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance" xmlns:RSR="urn:rsrNamespace">
<XmlVersion>
   <SchemaVersion>5.2.0</SchemaVersion>
   <Originator>Application Name
   <VersionNumber>Application Version Number
   <TechnicalContactName>Jonathan Doe</TechnicalContactName>
   <TechnicalContactEmail>sample@company.ext</TechnicalContactEmail>
   <TechnicalContactPhone>555,555,1234</TechnicalContactPhone>
   <ReportYear>2020</ReportYear>
</XmlVersion>
<ClientReport>
   <ProviderID>100</ProviderID>
   <RegistrationCode>10001</RegistrationCode>
   <ClientUci>0123456789ABCDEF0123456789ABCDEF01234567U</ClientUci>
   <VitalStatusID>7</VitalStatusID>
   <BirthYear>1985</BirthYear>
   <EthnicityID>2</EthnicityID>
   <ClientReportRace>
       <RaceID>6</RaceID>
   </ClientReportRace>
   <PovertyLevelPercent>125</PovertyLevelPercent>
   <HousingStatusID>2</HousingStatusID>
   <HousingStatusCollectedDate>1,1,2019
/HousingStatusCollectedDate>
   <HivAidsStatusID>1</HivAidsStatusID>
   <ClientReportHivRiskFactor>
       <HivRiskFactorID>9</HivRiskFactorID>
   </ClientReportHivRiskFactor>
   <ClientReportHealthCoverage>
       <MedicalInsuranceID>6</MedicalInsuranceID>
   </ClientReportHealthCoverage>
   <NewClient>2</NewClient>
   <ReceivedServicePreviousYear>1
/ReceivedServicePreviousYear>
   <ClientReportServiceVisits>
       <ServiceVisit>
           <ServiceID>8</ServiceID>
           <Visits>5</Visits>
       </ServiceVisit>
       <ServiceVisit>
           <ServiceID>14</ServiceID>
           <Visits>5</Visits>
       </ServiceVisit>
   </ClientReportServiceVisits>
   <ClientReportServiceDelivered>
       <ServiceDelivered>
           <ServiceID>9</ServiceID>
           <DeliveredID>2</DeliveredID>
       </ServiceDelivered>
       <ServiceDelivered>
           <ServiceID>12</ServiceID>
           <DeliveredID>2</DeliveredID>
       </ServiceDelivered>
   </ClientReportServiceDelivered>
   <FirstAmbulatoryCareDate>1,1,2011
   <ClientReportAmbulatoryService>
       <ServiceDate>1,1,2013/ServiceDate>
```

```
<ServiceDate>4,1,2013/ServiceDate>
       <ServiceDate>7.1.2013</ServiceDate>
       <ServiceDate>10,1,2013/ServiceDate>
   </ClientReportAmbulatoryService>
   <ClientReportCd4Test>
       <Cd4Test>
           <Count>830</Count>
           <ServiceDate>1,1,2013/ServiceDate>
       </Cd4Test>
       <Cd4Test>
           <Count>875</Count>
           <ServiceDate>8,1,2013/ServiceDate>
       </Cd4Test>
   </ClientReportCd4Test>
   <ClientReportViralLoadTest>
       <ViralLoadTest>
           <Count>210</Count>
           <ServiceDate>1,1,2013/ServiceDate>
       </ViralLoadTest>
       <ViralLoadTest>
           <Count>175</Count>
           <ServiceDate>8,1,2013/ServiceDate>
       </ViralLoadTest>
   </ClientReportViralLoadTest>
   <PrescribedArtID>1</PrescribedArtID>
   <ScreenedSyphilisID>3</ScreenedSyphilisID>
   <Pre><PreqnantID>1</PreqnantID>
   <ClientReportHispanicSubgroup>
       <SubgroupID>2</SubgroupID>
   </ClientReportHispanicSubgroup>
   <ClientReportAsianSubgroup>
       <SubgroupID>3</SubgroupID>
   </ClientReportAsianSubgroup>
   <ClientReportNhpiSubgroup>
       <SubgroupID>1</SubgroupID>
   </ClientReportNhpiSubgroup>
   <SexAtBirthID>1</SexAtBirthID>
   <HivDiagnosisYear>2003
/HivDiagnosisYear>
   <HivPosTestDate>12,1,2011/HivPosTestDate>
   < OamcLinkDate >12,6,2011</ OamcLinkDate >
</ClientReport>
</RSR:ROOT>
```

Appendix A: List of Acronyms

AIDS Acquired Immunodeficiency Syndrome

ART Antiretroviral Therapy

CHIP Children's Health Insurance Program

CM Case Management Services (Medical and Non-medical)

CPAP Community Pharmaceutical Assistance Program

eUCI Encrypted Unique Client Identifier

HAB HIV/AIDS Bureau

HIP Health Insurance Program
HIV Human Immunodeficiency Virus

HRSA Health Resources and Services Administration

IHS Indian Health Service

LPAP Local Pharmaceutical Assistance Program
OA Outpatient/Ambulatory Medical Care Services
RDR Ryan White HIV/AIDS Program Data Report
RSR Ryan White HIV/AIDS Program Services Report

UCI Unique Client Identifier

XML eXtensible Markup Language

XMLNS XML Namespace
XSD XML Schema Definition
XSI XML Schema Instance

VA Veterans Affairs